IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of John L. Russell, Jr. et al.

Application No. 10/568,728 Filed: February 17, 2006

For: Plastic Brachytherapy Sources Atty. Docket No. IBT1.073-US

Group Art Unit: 3735

Examiner: Samuel G. Gilbert

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF HEIKO G. JACOBS UNDER 37 C.F.R. § 1.132

Heiko G. Jacobs declares as follows:

Declarant

I am Head of Product Management of IBt s.a. ("IBt") and make this verified statement in support of the patentability of U.S. Patent Application 10/568,728.

I understand that IBt is the assignee of record for this application. I have no financial interest in IBt other than as an employee.

Credentials

I, Dipl. Phys. Heiko G. Jacobs, am also Head of Product Management for Brachytherapy at Eckert & Ziegler BEBIG (a company affiliated with IBt) with 8 years of product management experience and experience as quality manager and safety officer for medical devices. I hold a diploma in physics from Johannes Gutenberg University, Mainz, Germany. My curriculum vitae submitted herewith contains additional detail of my background.

Introduction

I understand that the present patent application is a U.S. National Stage entry of International Patent Application PCT/US2004/027116 filed August 20, 2004. Some claims of the present invention are directed toward a brachytherapy device, comprising an implantable radioactive source having a socket at each end, a functional unit with connecting ends, and a carrier. Other claims are directed

toward a method of preparing the implantable source. Such an implantable brachytherapy source typically comprises or is a radioactive seed.

I have been informed that the U.S. Patent Examiner asserts that U.S. Patent 6,264,599 to Slater et al ("Slater I") and U.S. Patent 6,273,851 to Slater et al ("Slater II") disclose radioactive therapeutic seeds including sockets as well as universal joints, spacers, and functional units which either anticipate or make obvious certain claims of the present patent application. I present evidence below to explain to the Examiner how the components of the present invention are distinguishable over those of Slater I and Slater II.

Discussion

Slater I and Slater II disclose brachytherapy seeds having ball joints at each end that can be attached to a connecting unit (spacer) having socket joints. The brachytherapy seeds of the present invention have the opposite configuration. Namely, the present seeds have socket joints and the functional units or spacers have ball-like endings. This arrangement as presently claimed is particularly distinguished from Slater I and Slater II as will be described further.

It is an innovation to have a socket on both sides of the seed of a plastic brachytherapy source. This shape, or configuration of the sockets at the ends of the seed, allows for both a connection to a functional unit and, at terminal connections, an exposed socket. The exposed socket reduces the seed migration in soft tissue when implanted as a single seed or as a strand or array of interconnected seeds. The hollow part of the socket acts, in effect, as an anchor when placed in soft tissue and prevents migration of the seed in the tissue.

For example, implanted seeds have sometimes been found to migrate from the prostate into the peripheral tissue or even into the urethra or seminal vesicles where it may eventually be eliminated from the body via discharge of urine or ejaculate. Unanchored seeds may also enter the lymphatic system. It is possible for an unanchored conventional seed to travel through the vein plexus of the prostate capsular and through the vein system up to the right ventricle whereupon it enters the circulation system. If the patient has anatomical irregularities, e.g. open foramen ovale, such a seed may even migrate to the left ventricle and it can enter the large circulation system.

Both Saibishkumar, E. P., Borg, J., et al., Sequential Comparison of Seed Loss and Prostate Dosimetry of Stranded Seeds with Loose Seeds in I-125 Permanent Implant for Low-Risk Prostate Cancer, Int. J. Radiation Oncology Biol. Phys. published online (2008), pp 1-8; and Tapen, E.M., Blasko J.C., et al., Reduction of radioactive seed embolization to the lung following prostate brachytherapy, Int. J. Radiation Oncology Biol. Phys., Vol. 42 No.5, (1998) pp. 1063-

1067 provide examples of seed migration in the bodies of patients treated with unanchored brachytherapy seeds. Seed migration was observed even when the seeds were part of a strand.

Based on my reading of the Slater I and Slater II patents, I conclude that the brachytherapy sources disclosed therein would be similarly subject to the likelihood of seed migrations because the shape of such seed is very close to the shape of conventional seeds that have been found to migrate.

The socket geometry of the seeds of this invention significantly increases the likelihood that the patient's tissue will grow into the exposed socket cavity, holding the seed in place to minimize the likelihood of migration of the seed from its initial implantation location. The advantage of a seed with sockets at each end is that a patient's tissue filling in the socket acts as an anchor to prevent migration. The use of seeds with anchored sockets reduces the likelihood of needing a second implantation treatment with additional seeds to correct the radiation dose distribution in a situation where seeds have migrated away from their intended targeted region (e.g. the prostate).

It is my opinion with reasonable scientific certainty that the socket geometry of a brachytherapy seed as disclosed in the present patent application is an effective physical feature for preventing migration of the seed after it is implanted into body tissue. I reach this conclusion based upon my knowledge of the types of seed migration of which I am aware.

Another innovative advantage of having the sockets as part of the seed capsule is to reduce the material mass of a non-biodegradable seed, replacing it by the biodegradable ball part of the functional unit. This reduces amount of foreign substance which remains in the patient's body long-term.

Verification

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-referenced application or any patent issuing thereon.

Heikø G. Jacobs

November 4, 2010

Curriculum Vitae

Heiko G. Jacobs

Occupation	Since 10/2009 12/2006 – 09/2009	Head of Product Management Brachytherapy Eckert & Ziegler BEBIG GmbH, Berlin Main responsibilities: Leadership, sales support, product specification, market survey, risk management, regulatory affairs Senior Manager, Product and Quality Management Optics and Medical Devices Frank Optic Products GmbH, Berlin Main responsibilities:
		Quality management, research & development, product specification, purchase, sales, public relation, regulatory affairs
	01/2005 - 11/2006	Product manager, Technical Glass Improvement of flat glass, with emphasis on display, Berliner Glas KGaA, Berlin Main responsibilities: Customer based product development in several locations, technical support of marketing department, portfolio analysis, pattern coordination, certification, quality coordination in Asia
	07/2001-12/2004	Innovation & Development, Electronics CRT SCHOTT AG Mainz Main responsibilites:
	* 339	Project management, technical customer care, cost and time control, realization studies, pattern completion, patents overview, CAD-development, FE-simulation
	10/2000-07/2001	Coating production engineer Optical filter, INTERVAC SCHOTT Glas Mainz Main responsibilites: Improvement of layering procedures in interference filters, production of trial patterns
Education	10/1993 – 09/2000	Physics Diploma Johannes Gutenberg – University, Mainz Diploma project on the construction of a CCD x-ray detector in an electron accelerator Further areas: physics, chemistry, electronics
		and signal processing

Student supervision at Praktika and Übungen

10/1996 – 06/1997 General grade: good, Diploma grade: very good ERASMUS- Exchange, Sweden, Uppsala

- "Project Work" on signal transference at the Institute of High Voltage Research, Uppsala
- Physics lectures in English

Foreign languages English

English French Swedish Latin fluent

basic knowledge basic knowledge basic knowledge

(Heiko Gernot Jacobs) Berlin, 19th October 2010